Docket No.

214834US55CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Stephen G. EMERSON et al

SERIAL NO:

New U.S. Application

FILED:

Herewith

FOR:

METHODS, COMPOSITIONS AND DEVICES FOR GROWING HEMATOPOIETICS CELLS



INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 were mentioned in Parent Application 08/787,044 filed January 28, 1997.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Jean-Paul Lavalleye

Registration No.

31,451

Daniel J. Pereira, Ph.D.

Registration No.

45,518



22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98) Docket No.

214834US55CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Stephen G. EMERSON et al

SERIAL NO:

New U.S. Application

FILED:

Herewith

FOR:

METHODS, COMPOSITIONS AND DEVICES FOR GROWING HEMATOPOIETICS CELLS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 were mentioned in Parent Application 08/787,044 filed January 28, 1997.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.

 A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

1001/

Jean-Paul Lavalleye

Registration No.

31,451

Daniel J. Pereira, Ph.D.

Registration No.

45,518



22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98)

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF PATENT AND TRADEM	COMMERCE MARK OFFICE	ATTY DOCKET NO. 214834US55CONT			SERIAL NO. NEW U.S. APPLICATION		
LIST OF	REFER	RENCES CITED BY APPL	ICANT	Stephen G. EMERSON et al					
			FILING DATE. Herewith			GROUP SO			
				J.S. PATENT DOCUME	NTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FI IF Al	ROF
	AA	5,459,069	10/17/95	Patsson et al					
	AB	5,605,822	02/25/97	Emerson et al					
	AC								
	AD			•					
	AE					ļ			
<u> </u>	AF						ļ		
<u> </u>	AG								
	AH								
 	AI								
<u> </u>	AJ					ļ			
<u> </u>	AK					-			
	AL						<u> </u>		
	AM AN						 		
	AN								
	FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER				TRANSL YES		LATION NO	
	AO			· ·					
	AP								
ļ	AQ								
<u> </u>	AR								
<u> </u>	AS	<u> </u>					 		
	AT								
	AV								
	_ ^v	<u> </u>							
		· · · · · · · · · · · · · · · · · · ·		ncluding Author, Title,					
	AW	Steven C. Clark et al., "The Human Hematopoietic Colony-Stimulating Factors", Science, vol.236, pp. 1229-1237, (1987)							
	AX		,						
	AY			·					
	AZ								
Examiner				Date Considered					
*Examiner: In conformance	itial if ro and no	eference is considered, w t considered. Include cop	hether or not y of this form	citation is in conformand with next communication	e with MPEP 60 n to applicant.	09; Draw li	ne through	citation	if not in